



# The unsteady competition between Rossby and Stratorotational instabilities in PP disks

...

and other new results regarding  
Goldreich-Schubert-Fricke Instability for disks

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## Outline

- Part I: Rossby and Gravity Mode Instabilities for disks
- Part II: Goldreich Schubert Fricke Instability for Disks
- Part III: Amalgamation



## Previous Discussions

### 1 Rossby Wave Instability (RWI) - barotropic process

*Instability of at least 2 Rossby waves in shear separated by evanescent zone*

- Fluid Dynamics Historical (Rayleigh 1880, limiting form  $\rightarrow$  Kelvin-Helmholtz Instability)
- Meteorological (Bretherton 1965 in terms of "potential vorticity", Hoskins et al. 1985, . . . ),
- Astrophysical (Lovelace, Li et al. 2000, Meheut 2010, 2012, Varnier & Tagger, and others)
- Interpretation as "CRW's" (Baines & Mitsudera 1994, Heifetz et al. 1999, Umurhan 2010)

### 2 Gravity Wave Instability (GWI) -

*resonant interactions with shear flow*

- Meteorological (Satomura 1982, Knessl & Keller 1994, Ford 1994, Balmforth 1999)
- Laboratory Taylor-Couette (Yavneh 2000, Le Dizes 2009,...)
- Fluid dynamics general - aka "Radiative Instability" (Schecter & Montgomery 2010, Le Dizes Group 2008-Present)
- Astrophysical (Dubrulle 2004 as "Stratorotational Instability" **SRI**, Le Dizes Group 2008-Present)



## Non-Asymptotic Reduction of Disk Equations

### 1 Meheut et al. numerical analysis:

- isentropic equation of state  $P = \rho^\gamma$
- disk midplane symmetric disturbances

### 2 Minimal Assumptions

Assume following central star potential gradients (very good for thin/cold disks)

$$\frac{\partial \phi}{\partial r} \approx \Omega_0^2 r_0 (r_0/r)^2 \quad \& \quad \frac{\partial \phi}{\partial z} \approx -\Omega_0^2 z (r_0/r)^3$$

### 3 Expansions for radial ( $u$ ) and azimuthal ( $v$ ) and enthalpy ( $\Pi$ ),

$$\begin{Bmatrix} u(x, y, z, t) \\ v(x, y, z, t) \\ \Pi(x, y, z, t) \end{Bmatrix} = \begin{Bmatrix} u_0(x, y, t) \\ v_0(x, y, t) \\ \frac{1}{2} \Omega_0^2 (h_0(x, y, t)^2 - z^2) \end{Bmatrix} + \sum_{k=1}^{\infty} \begin{Bmatrix} u_{2k}(x, y, t) \\ v_{2k}(x, y, t) \\ \Pi_{2k}(x, y, t) \end{Bmatrix} z^{2k}$$

and vertical velocities ( $w$ )

$$w(x, y, z, t) = \Omega_1(x, y, t)z + \sum_{k=1}^{\infty} \Omega_{1+2k}(x, y, t)z^{1+2k}$$



**4** Truncation procedure similar to other studies see recent e.g. Umurhan 2008,  
 Lin 2011,2012:  $n = 0$  truncation only small box shown here - carries over to global disk as well

2D shallow H<sub>2</sub>O eqns with Kep. shear

$$\frac{du_0}{dt} - 2\Omega_0 v_0 = -\partial_x \frac{1}{2} \Omega_0^2 h_0^2$$

$$\frac{dv_0}{dt} - \frac{1}{2} \Omega_0 u_0 = -\partial_y \frac{1}{2} \Omega_0^2 h_0^2$$

$$\frac{dh_0}{dt} = \Omega_1 h_0$$

$$\partial_x u_0 + \partial_y v_0 = -\frac{\gamma + 1}{\gamma - 1} \Omega_1.$$

$$\frac{d}{dt} \equiv \partial_t + u_0 \partial_x + (v_0 - q_{\text{sh}} \Omega_0 x) \partial_y$$

Comments

- shear/Rossby waves
- gravity waves
- no acoustics
- **strong shear**



## Formulation in terms of Potential-Vorticity/Divergence/Enthalpy

$$\zeta \equiv \partial_x v - \partial_y u \text{ (Vorticity)}, \quad \mathcal{D} \equiv \partial_x u + \partial_y v \text{ (Divergence)}$$

$$\Pi \equiv \frac{1}{2} h^2 \text{ (Enthalpy)}, \quad \mathcal{Z} \equiv \frac{\Omega_0(2-q) + \zeta}{\Pi^{\frac{1}{2} \frac{\gamma+1}{\gamma-1}}} \text{ (Potential Vorticity)}$$

### Evolution Equations

$$\frac{d\mathcal{Z}}{dt} = 0$$

$$\frac{d\Pi}{dt} = -2 \left( \frac{\gamma-1}{\gamma+1} \right) \Pi \mathcal{D}$$

$$(\partial_t - q_{\text{sh}} \Omega_0 x \partial_y) \mathcal{D} = -\nabla^2 \left[ \Pi + \frac{1}{2} (u^2 + v^2) \right] + 2\Omega_0 q_{\text{sh}} \partial_y u + \partial_x v (\zeta + 2\Omega_0) - \partial_y u (\zeta + 2\Omega_0)$$

with diagnostic relations :

$$u = -\partial_y \psi + \partial_x \phi, \quad v = \partial_x \psi + \partial_y \phi, \quad \mathcal{D} = \nabla^2 \phi, \quad \zeta = \nabla^2 \psi.$$



## Linear Theory - in a shear layer

- Perturbations of uniform shear state between walls (ala classic SRI)
  - Theoretical results carry over when walls are replaced with jumps in mean Potential Vorticity)
- Consider uniform state  $h = h_0 = \text{constant}$  and  $\Pi' = \hat{\Pi}(x) \exp ik(y - ct) + \text{c.c.}$
- Parameters:

(wall half-width)  $\Delta$ , (shear rate)  $q_{\text{sh}}$ , (wavenumber)  $k > 0$ , (grv. wavespeed)  $c_g^2 \equiv \frac{1}{2} \Omega_0^2 h_0^2 \frac{\gamma - 1}{\gamma + 1}$ ,

### perturbation in enthalpy - find complex $c$

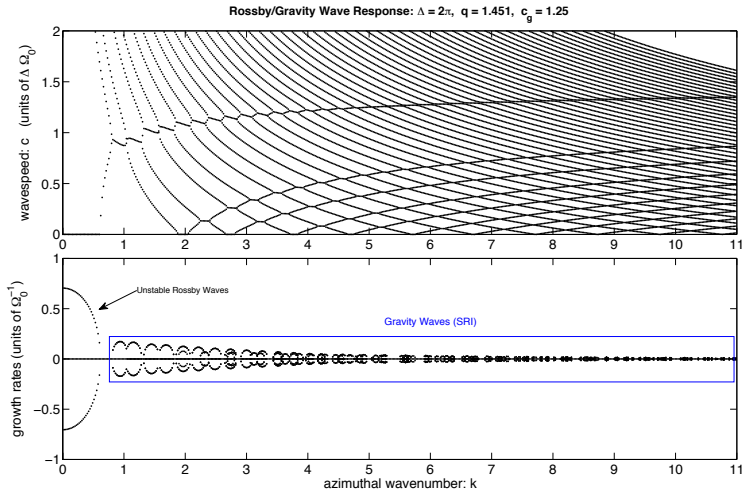
$$\frac{k^2}{c_g^2} (c - q_{\text{sh}} \Omega_0 x)^2 \Pi' = - \left( \partial_x^2 - k^2 - \frac{\kappa^2}{c_g^2} \right) \hat{\Pi} + 2 \Omega_0 i k q_{\text{sh}} \hat{u}$$

$$\hat{u}(x) = - \frac{i k}{2 c_g^2} \int_{-\Delta}^{\Delta} e^{-k|x-x'|} \left[ \text{sgn}(x-x') (c - q_{\text{sh}} \Omega_0 x') + \frac{1}{k} \Omega_0 (2 - q_{\text{sh}}) \right] \Pi(x') dx'$$

with  $\kappa^2 \equiv 2(2 - q_{\text{sh}})$



## Linear Response - layer







# Linear theory - free shear analysis of gravity waves easier!!

- Sheared coordinate frame transformation,  $\Pi'(t)e^{i\ell(t)x+iky}$   $\ell(t) = \ell + q_{\text{sh}}\Omega_0 kt$

## initial value ODE

$$\left[ \partial_t^2 + \kappa^2 + c_g^2(k^2 + \ell(t)^2) + \frac{2q_{\text{sh}}\Omega_0 k}{k^2 + \ell(t)^2} (\ell(t)\partial_t + k(2 - q_{\text{sh}})) \right] \Pi' = 0$$

## Solutions for $q_{\text{sh}}\Omega_0 kt \gg 1$

$$\Pi' = \sqrt{k^2 + \ell(t)^2} \mathcal{D}[(1+i)t], \quad \mathcal{D}[(1+i)t] \leftrightarrow \text{Parabolic Cylinder Fcn}$$

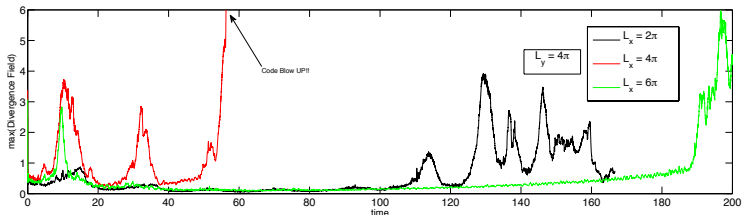
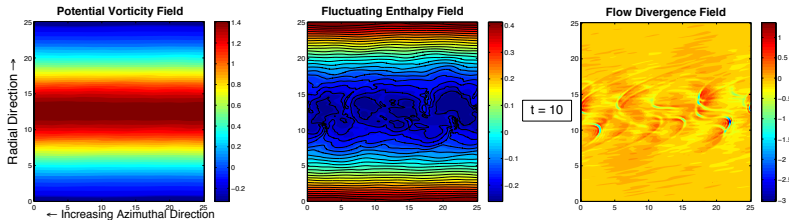
## asymptotic forms for large t

$$\Pi' \longrightarrow \text{const. amp. oscillations}, \quad \mathcal{D}' \longrightarrow \underline{q_{\text{sh}}} t \times (\text{const. amp. oscillations})$$



## GWI - runs: no RWI operating

### flow fields

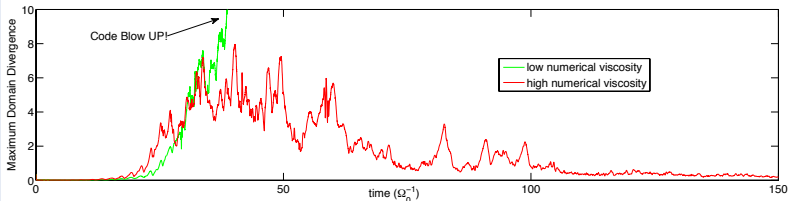




## RWI vs. GWI Runs - Preliminary Indications

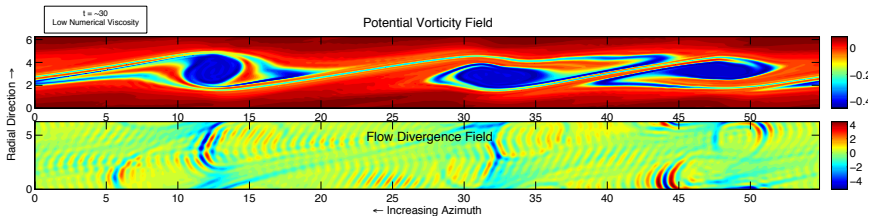
- Hypothesis to test: Do quasigeostrophic results carry over to SWT?...yes, sort of.
- Pseudospectral tests  $256 \times 1024$  runs with  $\nabla^{16}$  viscosity.

Current Status: Need high numerical viscosity to control gravity waves



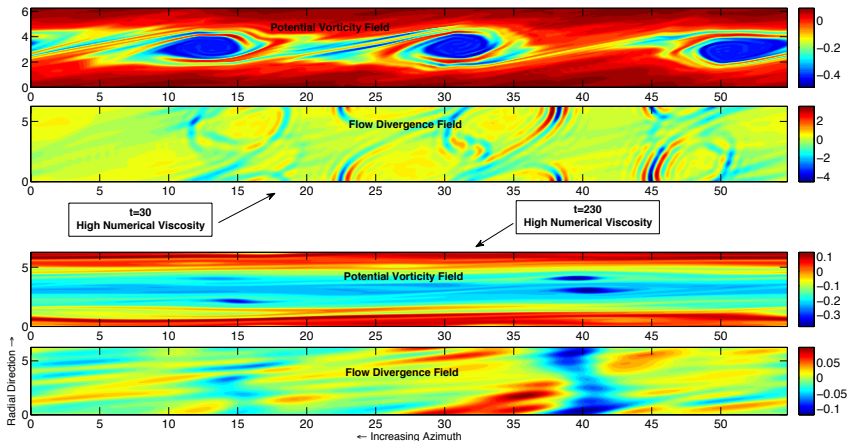


## Flow Fields - low viscosity





## Flow Fields - high viscosity





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## GSF Instability - unstable inertial waves - (nearly incompressible disturbances)

**1** Goldreich-Schubert-Fricke (1967/68) [Also Urpin 2003, Arlt & Urpin (2004)]

– mean rotation not constant on cylinders  $\rightarrow j^2 = R^2\Omega(R, Z)$

$$\text{instability for: } \frac{\partial j}{\partial R} - \frac{\ell_z}{\ell_R} \frac{\partial j}{\partial Z} < 0 \quad (\text{Solberg-Hoiland})$$

**2** For a “locally isothermal” disk:

$$T = T_0 \left( \frac{R}{R_0} \right)^q \quad \Rightarrow \quad \bar{V}(R, Z) = \bar{V}_{\text{kep}}(R) \left( 1 + q \left[ \frac{H_0^2}{R_0^2} \right] Z^2 \dots \right)$$

**3** cold disks: scale height  $\ell_z = H_0 \ll R_0$  implies (for reference  $\Omega_0$  at  $R_0$ )

$$(\text{growth rates}) \sim q\Omega_0 \frac{H_0}{R_0} \quad \Leftrightarrow \quad (\text{on radial disturb. length scales}) \ell_R \sim \frac{H_0}{R_0} H_0.$$

$\text{For } H_0/R_0 = 0.05 \Rightarrow \ell_R \sim 0.01R_0$



## Method/Parameters/Results

### Model Equations

$$\partial_t \rho + \nabla \cdot \rho \mathbf{u} = 0,$$

$$\partial_t \rho \mathbf{u} + \nabla \cdot \rho \mathbf{u} \mathbf{u} = -\nabla P - \rho \nabla \Phi,$$

$$\partial_t T + \mathbf{u} \cdot \nabla T = -(T - T_{\text{ref}}) / \tau_{\text{relax}}$$

with  $P = \rho T$  and  $\Phi = -GM/R$   
 or a proper energy equation

$$\partial_t e + \nabla \cdot e \mathbf{u} = -P \nabla \cdot \mathbf{u} + \mathcal{Q}$$

### Code and Setup

- Nirvana and Nirvana-III code  
 (spherical coordinates) ( $N_r = 1300$  and  $N_\theta = 1000$ )
- Axisymmetric disturbances -  
 $r_{\text{in}}/R_0 = 1$   $r_{\text{out}}/R_0 = 2$ ,  $Z_{\text{max}}/H_0 = 5$ .
- Outflow or reflecting conditions -  
 (no observed difference in results)
- $\mathcal{Q} = -1$  (constant  $H_0/R_0 = 0.05$  over domain)
- seed with random field in KE

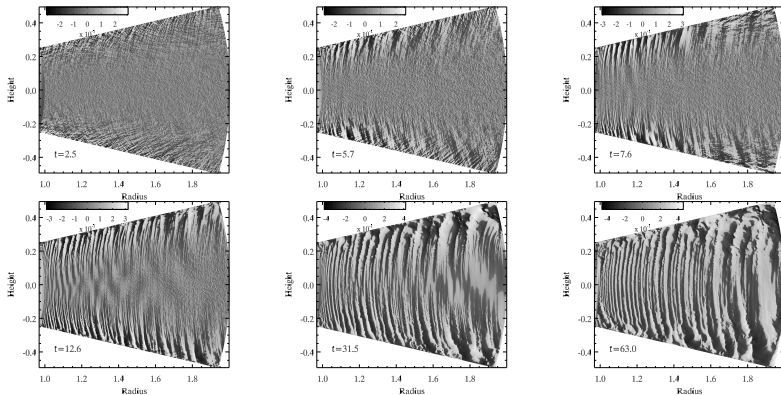
### Result

**Strong Activity when  $\tau_{\text{relax}} \rightarrow 0$  and  $q \neq 0$ .**





## vertical velocity frames



**Figure 3.** Edge-on contours of the perturbed vertical velocity as a function of  $R, Z$  and time for model TR1-0. Note that for clarity, the grey-scale of the image has been stretched by plotting the quantity  $\text{sign}(v_z) \times |v_z|^{1/4}$ . Note that the spectrum bar shows values of  $v_z^{1/4}$ .

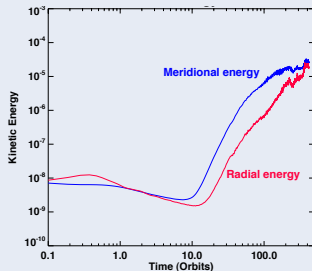
- Radial Wavelength of dominant growing mode  $\sim 0.009R_0$ .



## features and clues

### component KE

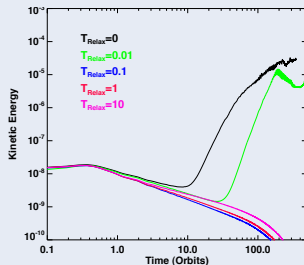
6 Nelson, Gressel & Umurhan



**Figure 1.** Time evolution of the normalised perturbed kinetic energy in the meridional and radial coordinate directions for model TIR-0 with  $p = -1.5$ ,  $q = -1$  and reflecting boundary conditions at the meridional boundaries.

growth rate  $\sim 0.24 \text{ orbit}^{-1}$

### Variation of $\tau_{\text{Relax}}$



**Figure 10.** Time evolution of the sum of the (normalised) perturbed radial and meridional kinetic energy in discs where the temperature was initially constant on cylinders, as a function of the thermal relaxation time. Note that only the  $\tau_{\text{Relax}} = 0$  and 0.01 cases show growth.

■ Important Clue: radial velocities dwarfed by vertical velocities



## Stripped down model exposing instability

### numerically guided asymptotic analysis

- time<sup>-1</sup>  $\sim \Omega_0 H_0 / R_0$ ,  $\ell_R \sim (H_0 / R_0)^2 R_0$ ,  $\ell_z \sim H_0$ , and  $v_R \ll v_z$ ,  
 for  $\tau_{\text{relax}} \rightarrow 0$  and  $q \neq 0$

### Reduced equations

examined around fiducial radius  $R = R_0$  where  $T = T_0 \iff c_{s0}^2$

$-2\Omega_0 v = -c_{s0}^2 \partial_r \ln \rho$	Radial Geostrophy!!
$\frac{dv}{dt} + \frac{1}{2}\Omega_0 u + \frac{\partial \bar{V}}{\partial z} w = 0$	Azimuthal Mom
$\frac{dw}{dt} = -c_{s0}^2 \partial_z \ln \rho$	Vertical Mom
$\frac{\partial \tilde{\rho} u}{\partial r} + \frac{\partial \tilde{\rho} w}{\partial z} = 0$	Anelastic Eqn.!!

with  $\tilde{\rho} = \exp(-z^2 / 2H_0^2)$ .



## linear theory and perturbation analysis

linear perturbations  $\rho \rightarrow \rho_0 + \rho'$

*Inseparable equation in  $r$  and  $z$ !!*

$$\frac{\partial^2}{\partial r^2} \frac{\partial^2 \rho'}{\partial t^2} + \frac{\partial^2 \rho'}{\partial z^2} = \left(1 + q \frac{\partial}{\partial r}\right) z \frac{\partial \rho'}{\partial z}$$

double instability !! ( $kq > 1$ )

solution modes of the inseparable "form":

$$\rho' = \rho(m, k) \sim \sum_{j=1}^m e^{st+jr/s^2} \cos kr \mathcal{H}_j(z)$$

where  $m$  are integer indices  $> 0$ :

$$s^2 = (m/k^2) \left(-1 \pm \sqrt{1 - q^2 k^2}\right)$$

maximal growth rates (our sims:  $q=1, H_0/R_0 = 0.05$ )

$$\text{radial scale of max growth : } \ell_r = \pi |q| \left(\frac{H_0}{R_0}\right)^2 R_0 \implies 0.008 R_0$$

$$\begin{aligned} \text{growth rate of max growth in KE : } s_{\max}^{(\text{KE})} &= 2s_{\max} = \sqrt{2m}\pi |q| \left(\frac{H_0}{R_0}\right) \text{orbit}^{-1} \\ &\implies 0.22\sqrt{m} \text{orbit}^{-1} \end{aligned}$$



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## Nonaxisymmetric response - Baroclinic RWI driven by this mechanism?

theoretic considerations:

- Axisymmetric instability  $\implies$  geostrophic mod. of base shear flow

$$2\Omega_0 v = \frac{\partial \ln \rho}{\partial r}$$

- Set up conditions for barotropic RWI and baroclinic extensions

Corresponding Asymptotic Eqns. for further linear/nonlinear analysis:

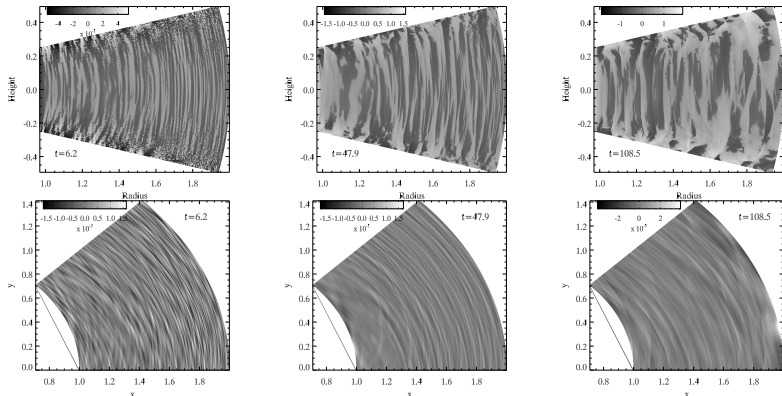
$$\begin{aligned}
 -2\Omega_0 v &= -c_{s0}^2 \partial_r \ln \rho \\
 \frac{dv}{dt} + \frac{1}{2}\Omega_0 u + w \partial_z \bar{V} &= -c_{s0}^2 r_0^{-1} \partial_\phi \ln \rho \\
 \frac{dw}{dt} &= -c_{s0}^2 \partial_z \ln \rho \\
 \frac{\partial \tilde{\rho} u}{\partial r} + \frac{1}{r_0} \frac{\partial \tilde{\rho} v}{\partial \phi} + \frac{\partial \tilde{\rho} w}{\partial z} &= 0 \\
 \frac{d}{dt} &= \partial_t + u \partial_x + (v - q \Omega_0 (r - r_0)) \partial_\phi + w \partial_z
 \end{aligned}$$

with  $\tilde{\rho} = \exp(-z^2/2H_0^2)$ .



## Non-axisymmetric full numerical runs:

Vertical shear instability in discs 13



■ vortex production in-plane  $\implies$

Outward angular momentum Transportage!



## Nonaxisymmetric response - Baroclinic RWI driven by this mechanism?

### Transport Properties

Effective " $\alpha$ "

$$\sim 2 \times 10^{-3}$$

Distribution around midplane

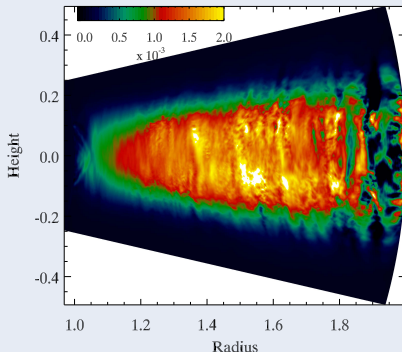
### Next Stages:

Sort out linear theory of competing instabilities

Further examine the requirement that thermal relaxation times must be short!

Verification via other means

### Transport Map



**Figure 14.** Spatial distribution of the time and horizontally averaged Reynolds stress (normalised by the mean pressure at each radius) for the model T1R-0-3D.